Overcoming Barriers to Implementing

*The Ten Steps to Successful Breastfeeding*

Final Report

Baby-Friendly USA
327 Quaker Meeting House Road
East Sandwich, MA 02537

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The editors gratefully acknowledge the assistance and input of Anna Blair, PhD, Katina Varzos, CNM, and the community of Baby-Friendly hospitals and birth centers.
What are the Ten Steps to Successful Breastfeeding and Why Do We Need Them?

More than one million infants worldwide die every year because they are not breastfed or are given other foods too early. Millions more live in poor health, contract preventable diseases, and battle malnutrition. Although the magnitude of this death and disease is far greater in the developing world, thousands of infants in the United States suffer the ill effects of an infant formula-feeding culture. Babies who are not breastfed, or who are fed other foods too early may have an increased risk of obesity, an increased risk of diarrhea and other GI problems, respiratory and ear infections, and allergic skin disorders.

In the United States, these conditions translate into millions of dollars of costs to our health care system through increased hospitalizations and pediatric clinic visits. For diarrhea alone, approximately 200,000 US children, most of whom are young infants, are hospitalized each year at a cost of more than half a billion dollars. In a study of morbidity in an affluent US population, Dewey and colleagues found that the reduction in morbidity in breastfed babies was of sufficient magnitude to be of public significance. For example, the incidence of prolonged episodes of otitis media (ear infections) was 25% higher in non-breastfed as compared to breastfed infants. The cost savings to the health care system could be enormous if breastfeeding duration increased, given that ear infections alone cost billions of dollars a year.

It is a rare exception when a woman cannot breastfeed her baby for physical or medical reasons. Yet, a woman’s ability to feel self confident and secure with her decision to breastfeed is challenged by her family and friends, the media, and health care providers. Much has been done in the past few years to strengthen the sources of support for women to breastfeed.

Although the hospital or birth center is not and should not be the only place a mother receives support for breastfeeding, maternity care facilities provide a unique and critical link between the breastfeeding support provided prior to and after delivery.

The Ten Steps to Successful Breastfeeding for Hospitals and Birth Centers, were outlined by UNICEF/WHO in the 1980’s. The steps for the United States are:

1. Maintain a written breastfeeding policy that is routinely communicated to all health care staff.
2. Train all health care staff in skills necessary to implement this policy.
3. Inform all pregnant women about the benefits and management of breastfeeding.
4. Help mothers initiate breastfeeding within one hour of birth.
5. Show mothers how to breastfeed and how to maintain lactation, even if they are separated from their infants.
6. Give infants no food or drink other than breastmilk, unless medically indicated.
7. Practice “rooming in”-- allow mothers and infants to remain together 24 hours a day.
8. Encourage unrestricted breastfeeding.
9. Give no pacifiers or artificial nipples to breastfeeding infants.
10. Foster the establishment of breastfeeding support groups and refer mothers to them on discharge from the hospital or clinic.

The Baby-Friendly Hospital Initiative (BFHI) is a global program sponsored by the World Health Organization (WHO) and the United Nations Children’s Fund (UNICEF) to encourage and recognize hospitals and birthing centers when they have implemented the Ten Steps to Successful Breastfeeding. In each country an organization has been designated to assist hospitals and birthing centers with the process and give them special recognition when they have implemented the Ten Steps. Baby-Friendly USA is the designated national authority in the United States.
The Ten Steps Worldwide

In many countries around the world, thousands of hospitals and birthing centers have already fully implemented the Ten Steps to Successful Breastfeeding and received Baby-Friendly Hospital designations from their national authority.

The Ten Steps in the United States

The Healthy Mothers, Healthy Babies Coalition received a grant from the US Department of Health and Human Services to convene an Expert Work Group to examine the criteria and assessment process of the Ten Steps to Successful Breastfeeding. Wellstart International, which is located in San Diego, California, developed the evaluation materials to support the assessment process. The U.S. Committee for UNICEF supported these efforts financially and with “in kind” services. In January of 1997, the Healthy Children Project, Inc. accepted responsibility for the initiative and worked to form Baby-Friendly USA as the not-for-profit corporation that is the national authority for the Baby-Friendly Hospital Initiative in the United States.

Why Participate in the US Baby-Friendly Hospital Initiative?
Participation in this initiative provides several possible benefits for maternity care facilities:

**Quality improvement:** many of the ten steps are easily adaptable as QI projects.
**Cost containment:** increased breastfeeding rates can have impact on many health care costs from postpartum hemorrhage, to decreased incidence of ear infection.
**Public relations/marketing:** families who feel adequately supported during the vulnerable postpartum days can speak powerfully for a birth facility.
**Prestige:** The receipt of this WHO/UNICEF international award is an achievement to celebrate!

What Can US Birth Facilities Do Now?
Birth facilities can make a commitment to improve breastfeeding policy, training and practices. They can create an environment supportive of the Ten Steps to Successful Breastfeeding.

Hospitals and birthing centers across the country are eager to work toward the implementation of the Ten Steps to Successful Breastfeeding and have signified their commitment by applying for and receiving a “Certificate of Intent” from Baby-Friendly USA. The “Certificate of Intent” indicates that a maternity care facility has decided to work on the implementation of the Ten Steps to Successful Breastfeeding, not that they have achieved full implementation of any or all of the steps. Among these institutions are both large and small hospitals, for profit and not-for-profit hospitals, teaching hospitals, and hospitals at various stages of development in their breastfeeding education and support services, as well as birthing centers. The annual deliveries range from less than 100 in a small rural hospital to over 8,000 deliveries annually in an urban hospital.

The Certificates of Intent are given out on an honor system. There is no visit to the hospital or birthing center to verify compliance. Receiving the Certificate of Intent is not equal to being awarded the Baby-Friendly designation, but rather recognizes those US hospitals and birthing centers that are working toward applying the Ten Steps in their facility.

The Baby-Friendly Award process requires an on-site survey, which is conducted after the hospital or birthing center indicates readiness for assessment. Only after the facility has had an on-site assessment and demonstrated that all ten steps of the Ten Steps to Successful Breastfeeding have been fully implemented is the designation of being a Baby-Friendly Hospital awarded.
Implementing The Ten Steps to Successful Breastfeeding

Hospitals and Birth Centers in the United States who have fully implemented the Ten Steps to Successful Breastfeeding and received the Baby-Friendly Hospital Award have described their process in an effort to smooth the path for other facilities. Implementation of the Ten Steps requires examination, change and evaluation. Examination of ingrained, but outdated practices, policies and beliefs and replacing them with evidence-based practices, policies and beliefs is not easy. But it is worthwhile. Staff members may resist change if the leaders do not provide the education, discussion, integration and evaluation that support the change.

Strategies for Improvement of Breastfeeding Policies and Procedures

♦ Establish a multidisciplinary task force to review the current state of policy and practice related to breastfeeding.

Except in small facilities, individuals working alone rarely have all of the assets that are needed to overcome established practices, the status quo and inertia.

The impetus to establish a multidisciplinary task force to implement the Ten Steps to Successful Breastfeeding has come from a variety of positions in maternity care facilities including

- Hospital CEO
- Clinical Director
- Lactation Specialist
- Nurse Manager
- Coordinator of Childbirth Education and Lactation Program
- Pediatricians
- OB team
- Nurse Executive/Administrator
- Former Patient
- Per Diem Nurse
- Nurse Practitioner
- Unit Manager
- Lactation Committee
- Midwifery Director

♦ Use the Self-Appraisal Tool to examine how the current practices differ from those expected by the Ten Steps. Although one individual at the facility may be tempted to complete the appraisal tool alone, review by the entire task force provides a team building activity that will be the foundation of future work.

♦ Apply for a Certificate of Intent from Baby-Friendly USA if technical assistance is desired.

♦ Establish a working plan for meetings and leadership for the task force. Determine whether other departments and champions within the larger facility should be included on the task force. Share meeting minutes and/or up-beat newsletters/progress reports with the widest reaches of the facility including community physicians, community partners and the Board of Directors.
♦ Determine strategies to resolve conflicts when and if they arise. A strategy offered by successful task forces is to establish the rules of evidence early in the process.

♦ Collect base-line data related to breastfeeding initiation rates (first feeding), supplementation rates, transfer rates of infants to special care (if-applicable), and duration rates.

♦ Identify challenges and barriers to implementation of steps and sub-steps. Members of the task force should discuss which steps will be easiest to tackle, and which will be toughest along with identifying obstacles for overcoming each step and sub-step.

♦ Prioritize the steps and sub-steps to implement. Tackle the easiest ones first. It is tempting to address the steps in numerical order, however each facility should take them in the order that makes sense for its unique situation.

♦ Designate task force members to speak individually with each non-task force staff member in order to explain the process and answer their questions and concerns.

♦ Debunk the myths and common misunderstandings. For example, Step 6 pertains only to mothers who have already elected to breastfeed their newborns. The step does not force anyone to breastfeed. In addition, there are misconceptions about pacifiers for ill infants, premature neonates and babies in pain. The steps focus on healthy, full-term infants for whom there is no medical indication for pacifier use. Hospitals with certificates of intent may contact Baby-Friendly USA for technical assistance in fully understanding the Ten Steps.

♦ Protect the system from a two-tiered outcome such as one where mothers who are breastfeeding are rooming-in with their babies and babies who are being formula fed are in a nursery. Many of the steps and sub-steps apply to all mothers and full term healthy babies who receive maternity care in the facility, not just those who are breastfeeding.

♦ Develop quality improvement projects related to each prioritized step and sub-step. In facilities with a department devoted to quality improvement, that department should collaborate with the task force on these projects.

♦ Implement a communication strategy. For example, posters and displays placed near the cafeteria keep non-involved staff up-to-date on the progress of the Ten Steps.

♦ Generate short-term “wins” through planning for improvements in performance, and creating the “wins”. Celebrate the steps and sub-steps that are in line with the Ten Steps. Visibly reward the staff members that make the “wins” possible.

♦ Establish files for documents related to the steps. Include the written breastfeeding policy, curriculum for any training in lactation management given to staff caring for mothers and babies, outline of the content to be covered in prenatal education about breastfeeding. Existence of such written documents provides evidence of on-going institutional commitment to breastfeeding and ensures continued promotion even with changes in staff.

♦ Consolidate change to produce more change. Use increasing credibility to tackle the steps and sub-steps that the task force determined were the most difficult.

♦ Anchor the new practices by articulating the connection between the new practices and the success of the organization. Until new behaviors are integrated into social norms and shared values they are subject to degradation.

♦ Conduct mock assessments and patient interviews to determine whether the Ten Steps and sub-steps have been fully implemented. Review policies and procedures to see whether they reflect the current practices and up-to-date evidence. Contact Baby-Friendly USA to arrange for a “long interview” and an on-site assessment in order to receive the Baby-Friendly designation.
Step by Step

A guide to understanding the purpose, criteria, common barriers to implementing and strategies for overcoming identified barriers.
Step 1: Have a written breastfeeding policy that is routinely communicated to all health care staff.

Purpose:
To assure that policy exists that promotes breastfeeding and delineates standards of care for breastfeeding mothers and babies.

Criteria:
The facility will have a detailed breastfeeding policy that is inclusive of the Ten Steps to Successful Breastfeeding, and is routinely communicated to all health care staff.

Common Barriers to Implementation:
- resistance to new policies and practices
- lack of support from key sectors (e.g., administrative, managerial, medical, nursing, etc.) to create a forum for discussing and revising policy
- concern about the potential costs of policy change
- disagreement about the validity or importance of the Ten Steps
- lack of monitoring to indicate if practice is in keeping with policy

Strategies to Overcome Barriers:
- establish a multidisciplinary team (including representatives of all key sectors) to review current policy, practice, and complete self-appraisal tool
- provide documentation of the benefits of breastfeeding and of the influence of maternity care practices on breastfeeding outcomes
- examine the economic benefits of breastfeeding and the costs of artificial feeding
- review the scientific evidence behind contentious issues and steps review model hospital policies, as possible resources for amending or rewriting existing policies
- proceed slowly, in a “baby steps” manner when resistance to change is triggered
- consider a survey of mothers to examine their experience with breastfeeding practices, then compare results with policy to determine level of synchrony between policy and practice

Resources & References:


Step 2. Train all health care staff in skills necessary to implement this policy.

Purpose:
To assure that all staff have the knowledge and skill necessary to provide quality breastfeeding care.

Criteria:
All staff with primary responsibility for the care of breastfeeding mothers and babies will have a minimum 18 hours of training inclusive of 3 or more hours of competency verification. Training for other staff members may be tailored to their job description and degree of exposure to breastfeeding.

Common Barriers to Implementation:
- finding time for training
- lack of in-house expertise for training
- financial cost of providing training
- cost of staff coverage for training hours
- high staff turnover creating continuous need for training

Strategies to Overcome Barriers:
- assess prior education offered through in-services, skills labs, conferences, etc. to determine where content needs have already been provided (prior training is acceptable so long as periodic research updates are provided)
- consider low-cost training modalities such as:
  - integrate breastfeeding education into existing staff meetings
  - sending key staff to “train the trainer” type programs and then offer training in-house
  - self-study training modules acquired from outside vendors, or constructed from recent journal articles
  - web-based training

Resources & References:


This curriculum and supporting educational media is available from Health Education Associates. The Healthy Children Project offers a Train the Trainer course to accompany this curriculum.


Step 3. Inform all pregnant women about the benefits and management of breastfeeding.

Purpose:
To assure the integration of messages about breastfeeding in all prenatal education interchanges.

Criteria:
All women delivering in the facility will have received consistent, positive messages about breastfeeding through prenatal education. Topics to be covered include the benefits of breastfeeding, the importance of exclusive breastfeeding, and basics of breastfeeding management; as well as the possible effect of analgesia/anesthesia on infant behavior, and the rationale for care practices such as early skin-to-skin contact, rooming-in, feeding on cue. All prenatal educational media should be free of messages that promote artificial feeding.

Common Barriers to Implementation:
- fragmentation of prenatal care creating diffusion of messages about breastfeeding
- limited attendance at prenatal education programs

Strategies to Overcome Barriers:
- work as a group to revise or write a prenatal booklet about breastfeeding that can be duplicated and distributed through all affiliated prenatal care practitioners
- develop a teaching checklist for obstetric care that provides talking points about breastfeeding at each prenatal visit
- position education resources such as posters, videos, peer counselors, educators, etc. to present concise messages about infant feeding in obstetric care waiting rooms, ultrasonography, laboratories, and other locations where pregnant women may have downtime
- weave infant feeding education into regular childbirth classes, rather than providing an optional class at the end of the series
- invite other community breastfeeding resource people (e.g. La Leche League, WIC programs, lactation consultants, etc.) to provide education on-site

Resources & References:


Step 4. Help all mothers initiate breastfeeding within one hour of birth.

Purpose:
To assure the early initiation of skin-to-skin contact and breastfeeding.

Criteria:
All healthy, full term babies should be placed in their mothers arms, skin-to-skin, within the first half-hour after birth, and held there for at least an hour. Staff should offer assistance during this period to help the parents learn and respond to infant’s feeding cues.

In the event of cesarean birth, babies should be placed, skin-to-skin, in their mother’s arms within a half-hour of mother’s ability to respond to her baby. Staff should offer assistance with learning feeding cues during this time.

Common Barriers:
• routine practice of mother-baby separation in the first hour for examination and cleaning of baby
• perception that routine procedures (e.g., bathing, warming, observation) have priority over breastfeeding in the first hour of life

Strategies to Overcome Barriers:
• review recent research on the importance of early feeding on breastfeeding outcomes
• examine guidance from the American Academy of Pediatrics and the American College of Obstetricians and Gynecologists on the importance of avoiding routine mother-baby separation in the first hour of life
• undertake a small scale observational study to trial changing immediate postpartum mother-baby contact and track breastfeeding rates of those mother/baby pairs

Resources & References:


**Step 5. Show mothers how to breastfeed and how to maintain lactation even if they should be separated from their infants.**

**Purpose:**
To assure ongoing breastfeeding assessment, evaluation and support during the stay.

**Criteria:**
All mothers should receive additional assistance with breastfeeding in the first six hours after birth and throughout her stay. Staff should routinely assess mother/baby comfort and effectiveness of feeding and suggest changes as needed. Education should be offered regarding feeding in response to infant cues and methods of expressing breast milk. Mothers of preterm or ill babies should be educated about collecting their milk.

**Common Barriers:**
- Inconsistent advice and teaching among staff
- Limited staff competence in assessing and educating mothers
- Limited staff time

**Strategies to Overcome Barriers:**
- Establish a working group to standardize methods of breastfeeding assessment and teaching
- Create a team of staff members who are competent and comfortable with breastfeeding assessment and teaching
- Assign less confident staff to shadow members of the “expert team,” eventually swap roles so that learners are observed by “experts”
- Consider creating a “feeding room” in a solarium or other open room where mothers can come together for feeding. This methodology can allow one or two staff members to assess and educate multiple mothers at the same time. (It also helps build mother-to-mother connection and learning.)
- Train peer counselors (other women who have been successful with breastfeeding) to make rounds and spend time assessing and educating breastfeeding mothers

**Resources & References**


Step 6. Give newborn infants no food or drink others than breastmilk, unless medically indicated.

Purpose:
To assure that healthy breastfeeding babies are not routinely supplemented with any food or drink other than human milk (unless medical indications exist for supplementation). Furthermore, to protect parents from formula marketing.

Criteria:
All breastfed infants will be exclusively breastfed except when a) acceptable medical indications exist for supplementation; or b) parents request supplementation after receiving education regarding the possible consequences of non-indicated supplementation. Parents of breastfed infants will receive no free samples, items bearing formula company names or logos, coupons for formula, etc. This step also requires that the facility purchase infant formula and feeding devices in the same manner as is used to procure other food and supplies.

Common Barriers:
• Routine, non-indicated supplementation of breastfed infants
• Misconception regarding contraindications to breastfeeding
• Concern that parents will choose another facility if they don’t receive a discharge gift
• Budgetary constraints regarding purchase of formula

Strategies to Overcome the Barriers:
• Establish a medical review team to examine recent policy statements on supplementation of breastfed babies
• Educate staff regarding the limited number of medical contraindications to breastfeeding; as well as the importance of unrestricted mother/baby contact and feeding in building an abundant milk supply
• Work with marketing to develop the facility’s own discharge gift pack for mothers
• Determine the actual amount of formula needed (versus what is stocked). Lock up the formula supplies and require staff to sign it out, indicating their name, the patient’s name, and medical indication for use. This will help to restrict formula usage, as well as providing information about what additional education and skill areas need to be advanced among staff. After collecting usage data for a period of time, put a bid out to vendors, including large chain pharmacies or food wholesalers to determine the fair market price of formula.

Resources & References:


Step 7. Practice rooming-in allow mothers and infants to remain together – 24 hours a day.

Purpose:
To assure that healthy mothers and babies have ample opportunities for skin-to-skin contact and early learning of baby’s feeding cues.

Criteria:
Rooming-in should be practiced throughout the facility. There should be no routine delays between birth and the initiation of continuous mother/baby contact. Mothers who request separation from their babies should receive information about the rationale for rooming-in. Healthy mothers and babies should not be routinely separated during their stay, with the exception of up to one hour daily for any medically necessary procedures.

Barriers:
- Perception of staff and/or mothers that sleep quality is improved when mothers and babies are separated
- Perception that routine separation is necessary for bathing, examinations, observation and other medical procedures

Strategies to Overcome Barriers:
- Review evidence regarding the sleep and mother/baby contact
- Examine the routine procedures that “require” infant to be taken to the nursery. Determine which procedures could be done in mother’s room, offering opportunities for more education during assessment. Many facilities have purchased portable scales, bath equipment, etc. in order to be conduct these procedures at the mother’s bedside.
- Offer staff the opportunity to role play how to respond when mothers request that their baby be taken from their room

Resources & References:


Step 8. Encourage breastfeeding on demand.

Purpose:
To assure that mothers are encouraged to feed their babies in response to the baby’s signs of feeding readiness.

Criteria:
All mothers should be educated about the baby’s ability to indicate feeding readiness and self-regulate feedings when given unlimited learning opportunities. Staff should assist families in the process of learning about feeding cues and responding to them. Mothers should not be told to feed on any particular schedule or interval, but rather to expect a minimum of 10-12 feedings in 24 hours of no particular pattern of frequency. Additionally, feedings should not be limited in length.

Common Barriers to Implementation:
- Expectations on the part of mothers and staff that feeding should occur on a regular, predictable schedule
- Lack of knowledge of common feeding cues
- Lack of adequate mother/baby contact

Strategies to Overcome Barriers:
- Educate mothers during both the prenatal and postpartum regarding typical infant feeding cues
- Educate staff about typical infant feeding cues
- Offer role play opportunities for staff to respond to parent’s questions such as “How often should I feed my baby?”
- Encourage unrestricted skin-to-skin contact to optimize baby’s learning opportunities

Resources & References:
**Step 9. Give no artificial teats or pacifiers.**

**Purpose:**
To assure that breastfed babies are not deterred from learning how to suckle at the breast, and thereby from maximizing mothers’ milk supply.

**Criteria:**
Health care staff should not offer healthy breastfed babies pacifiers or artificial nipples. (There may be a role for pacifier use in the preterm or ill baby who is not able to suckle at the breast.) When breastfed infants require supplementation, efforts should be made to limit supplementation device to cup, tube or syringe to avoid introducing artificial nipple shapes.

**Common Barriers:**
- Cultural expectation that pacifiers are needed to calm babies
- Staff familiarity with bottles as supplemental feeding devices and discomfort with alternative feeding methods
- Concern about the safety of cup feeding

**Strategies to Overcome Barriers:**
- Examine recent research regarding the impact of bottle, cup and other alternative feeding methods on breastfeeding success rates
- Examine recent research regarding the association of pacifiers and reduced breastfeeding exclusivity and duration
- Implement skin-to-skin and rooming-in protocols
- Teach staff, and help staff to teach parents soothing techniques such as skin-to-skin, walking, and rocking babies
- Offer staff hands-on training regarding alternative supplementation methods

**Resources & References**


Step 10. Foster the establishment of breast-feeding support groups and refer mothers to them on discharge from the hospital or clinic.

Purpose:
To assure that mothers are linked to ongoing breastfeeding support resources.

Criteria:
Facilities should assess the available community breastfeeding support resources and foster the development of breastfeeding support networks. All mothers should receive referral to appropriate resources prior to their discharge. Staff should develop individual care plans for the follow-up of mothers and babies who have identified breastfeeding risk factors.

Common Barriers to Implementation:
- Lack of awareness of existing resources (including availability and limitation of identified resources)
- Lack of proactive resources

Strategies for Overcoming Barriers:
- Partner with community breastfeeding resources to create or strengthen regional breastfeeding coalitions.
- Develop current breastfeeding resource lists and distribute them religiously to mothers
- Encourage coalitions to conduct needs assessments to identify un-served and under-served breastfeeding support needs.
- Strategize how to meet these needs through collaboration with community partners. (For example, invite La Leche League leaders or WIC breastfeeding counselors to hold support groups in facility meeting rooms; utilize marketing follow-up calls to identify if mothers are connected with postpartum resources; establish breastfeeding resources where mothers are likely to be found – in the mall, at the pediatric clinic, etc.)

Resources & References
General Research about the Implementation of the Ten Steps to Successful Breastfeeding


Organizational Resources

Academy of Breastfeeding Medicine
Executive Office - 191 Clarksville Road
Princeton Junction, NJ 08550
Telephone: (877) 836-9947 X 25
Email: abm@bfmed.org
Web site: www.bfmed.org

American Academy of Family Physicians
11400 Tomahawk Creek Parkway
Leawood, KS  66211-2672
Telephone: 800-274-2237
Web site: www.aafp.org

American Academy of Pediatrics
141 NW Point Blvd
Elk Grove, IL  60009-0927.
Telephone: 847-434-4000
Web site: www.aap.org

American College of Nurse-Midwives
8403 Colesville Rd, Suite 1550
Silver Spring MD 20910
Telephone: 240-485-1800 Web:
Web site: www.midwife.org

American College of Obstetricians & Gynecologists
409 12th Street SW, PO Box 96920
Washington, DC 20090
Telephone: (202) 638-5577
Web site: www.acog.org

American Dietetic Association
120 South Riverside Plaza, Suite 2000
Chicago, IL 60606-6995
Telephone: 800/877-1600
Web site: www.eatright.org

Association of Women’s Health, Obstetric and Neonatal Nurses (AWHONN)
2000 L Street, N.W. Suite 740
Washington, D.C. 20036
Telephone: 202-261-2400
Web site: www.awhonn.org

Baby-Friendly USA
327 Quaker Meeting House Road
E. Sandwich, MA 02537
Telephone: 508-888-8092 *
Web site: www.babyfriendlyusa.org
Beststart Social Marketing, Inc.
4809 E. Busch Boulevard, Suite 104
Tampa, FL 33617
Telephone: 800-277-4975
http://www.beststartinc.org/
email: beststart@beststartinc.org

Centers for Disease Control & Prevention
1600 Clifton Rd, Atlanta, GA 30333, U.S.A
Telephone: (800) 311-3435
Web site: www.cdc.gov/breastfeeding

Healthy Children Project, Inc.
327 Quaker Meeting House Road
East Sandwich, MA 02537
Telephone: 508-888-8044
Email: info@healthychildren.cc
Web site: www.healthychildren.cc

Health Education Associates
327 Quaker Meeting House Road
East Sandwich, MA 02537
Telephone: 508-888-8045
Email: info@healthed.cc
Web site: www.healthed.cc

International Baby Food Action Network
Web site: www.ibfan.org

International Lactation Consultant Association
1500 Sunday Drive, Suite 102
Raleigh, NC 27607
(919) 861-5577
Email: info@ilca.org
Web site: www.ilca.org

La Leche League International
1400 North Meacham Road, P. O. Box 4079
Schaumburg, IL 60168-4079
Telephone: 847-519-7730
Web site: www.lalecheleague.org

Lamaze International
2025 M Street, Suite 800
Washington DC 20036-3309
Telephone: (202) 367-1128
Web site: www.lamaze.org

National Alliance for Breastfeeding Advocacy
254 Conant Road
Weston, MA 02193-1756
Telephone: 781-893-3553
Web site: www.naba-breastfeeding.org
Appendix A

Using the Self-Appraisal Tool to Review Policies and Practices

The checklist that follows will permit a hospital, birthing center, or other health facility giving maternity care to make a quick initial appraisal or review of its practices in support of breastfeeding. The tool is based on the World Health Organization & United Nations Children’s Fund Ten Steps to Successful Breastfeeding.

Facilities are encouraged to bring their key management and clinical staff together to complete the Self-Appraisal Inventory and develop a plan of action based on the results of the self-appraisal.

Every answer that appears in the shaded right hand “No” column indicates an area for improvement. See the Section “Resources for the Ten Steps” included in this packet for suggested strategies and resources to overcome identified barriers.

Data collection is also a powerful tool for change. The final page of this tool includes some of the data that is helpful for analyzing changes.
**STEP 1. Have a written breastfeeding policy that is routinely communicated to all health care staff.**

<table>
<thead>
<tr>
<th>Question</th>
<th>YES</th>
<th>NO</th>
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<tbody>
<tr>
<td>Does the health facility have an explicit written policy for protecting, promoting, and supporting breastfeeding that addresses all Ten Steps to Successful Breastfeeding in maternity services?</td>
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<tr>
<td>Does the policy protect breastfeeding by prohibiting all promotion of and group instruction for using breast milk substitutes, feeding bottles and nipples?</td>
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<td>Is the breastfeeding policy available so all staff who take care of mothers and babies can refer to it?</td>
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<td>Is the breastfeeding policy posted or displayed in all areas of the health facility that serve mothers, infants, and/or children?</td>
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<td>Is there a mechanism for evaluating the effectiveness of the policy?</td>
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**STEP 2. Train all health care staff in skills necessary to implement this policy.**

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<thead>
<tr>
<th>Question</th>
<th>YES</th>
<th>NO</th>
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<tbody>
<tr>
<td>Are all staff aware of the advantages of breastfeeding and acquainted with the facility’s policy and services to protect, promote, and support breastfeeding?</td>
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<tr>
<td>Are all staff caring for women and infants oriented to the breastfeeding policy of the hospital on their arrival?</td>
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<tr>
<td>Is training on breastfeeding and lactation management given to all staff caring for women and infants within six months of hiring?</td>
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<tr>
<td>Does the training cover at least eight of the Ten Steps?</td>
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<tr>
<td>Is the training on breastfeeding and lactation management at least 18 hours in total, including a minimum of 3 hours of supervised clinical experience, for those staff with primary responsibility for supporting breastfeeding mothers and babies?</td>
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<td>Has the health care facility arranged for specialized training in lactation management of staff members with different levels of responsibility for breastfeeding families (e.g., staff of neonatal intensive care unit emergency department, medicine/surgery, etc as appropriate)?</td>
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<tr>
<td>Has the health care facility included skills needed to implement the ten steps in annual competency monitoring?</td>
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</tbody>
</table>
**STEP 3. Inform all pregnant women about the benefits and management of breastfeeding.**

<table>
<thead>
<tr>
<th>Question</th>
<th>YES</th>
<th>NO</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the facility include a prenatal care clinic? A prenatal inpatient unit?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>If yes, are all pregnant women attending these prenatal services informed about the benefits and management of breastfeeding?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Do prenatal records indicate whether breastfeeding has been discussed with the pregnant woman?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Is a mother’s prenatal record available at the time of delivery?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Are all pregnant women protected from oral or written promotion or group instruction for artificial feeding?</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**STEP 4. Help mothers initiate breastfeeding within an hour of birth.**

<table>
<thead>
<tr>
<th>Question</th>
<th>YES</th>
<th>NO</th>
</tr>
</thead>
<tbody>
<tr>
<td>Are all mothers who have had normal, vaginal deliveries given their babies to hold skin-to-skin within 30 minutes of delivery, and allowed to remain with them for at least an hour?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Are all mothers offered help by a staff member to initiate breastfeeding during this first hour?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Are all mothers who have had cesarean deliveries given their babies to hold skin- to-skin contact, within a half hour after they are able to respond to their babies?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Are all mothers who have had cesarean deliveries offered help by a staff member to initiate breastfeeding within 60 minutes of their ability to respond to their babies?</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**STEP 5. Show mothers how to breastfeed and how to maintain lactation, even if they should be separated from their infants.**

<table>
<thead>
<tr>
<th>Question</th>
<th>YES</th>
<th>NO</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does nursing staff offer all mothers further assistance with breastfeeding within six hours of delivery?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Are all breastfeeding mothers able to demonstrate how to correctly position and attach their babies for breastfeeding?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Are all mothers shown how to express their milk or given information on breast milk expression and/or advised of where they can get help should they need it?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Are staff members or counselors who have specialized training in breastfeeding and lactation management available full-time to advise mothers during their stay in health care facilities and in preparation for discharge?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Do all women who have never breastfed or who have previously encountered problems with breastfeeding receive special attention and support from the staff of the health care facility?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Are all mothers of babies in special care offered help to establish and maintain lactation by frequent expression of milk?</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
**STEP 6. Give newborn infants no food or drink other than breast milk, unless medically indicated.**

<table>
<thead>
<tr>
<th>Question</th>
<th>YES</th>
<th>NO</th>
</tr>
</thead>
<tbody>
<tr>
<td>Do all staff have a clear understanding of what the few acceptable reasons are for prescribing food or drink other than breast milk for breastfeeding babies?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Do all breastfeeding babies receive no other food or drink (than breast milk) unless medically indicated?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Are all breast milk substitutes, including special formulas, that are used in the facility purchased in the same way as any other foods or medicines?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Does the health facility and staff refuse all free or low-cost supplies of breast milk substitutes, paying close to retail market price for formula?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Is all promotion of infant foods or drinks other than breast milk absent from the facility?</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**STEP 7. Practice rooming-in—allow mothers and infants to remain together—24 hours a day.**

<table>
<thead>
<tr>
<th>Question</th>
<th>YES</th>
<th>NO</th>
</tr>
</thead>
<tbody>
<tr>
<td>Do all mothers and infants remain together (rooming-in) 24 hours a day, except for periods of up to an hour for hospital procedures or if separation is medically indicated?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Does rooming-in start within an hour of all normal births?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Does rooming-in start within an hour of when all cesarean mothers can respond to their baby?</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**STEP 8. Encourage breastfeeding on demand.**

<table>
<thead>
<tr>
<th>Question</th>
<th>YES</th>
<th>NO</th>
</tr>
</thead>
<tbody>
<tr>
<td>By placing no restrictions on the frequency or length of breast feedings, do all staff show they are aware of the importance of breastfeeding according to the baby’s feeding cues?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Are all mothers advised to breastfeed their babies whenever their babies are hungry and as often as their babies want to breastfeed?</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### STEP 9. Give no artificial teats or pacifiers to breastfeeding infants.

<table>
<thead>
<tr>
<th>Question</th>
<th>YES</th>
<th>NO</th>
</tr>
</thead>
<tbody>
<tr>
<td>Are all babies who have started to breastfeed cared for without receiving supplements via bottle?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Are all babies who have started to breastfeed cared for without using pacifiers?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Do all breastfeeding mothers learn that they should not give any bottles or pacifiers to their babies?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>By accepting no free or low-cost feeding bottles, nipples, or pacifiers, does the facility and its staff demonstrate that these should be avoided?</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### STEP 10. Foster the establishment of breastfeeding support and refer mothers to them on discharge from the facility.

<table>
<thead>
<tr>
<th>Question</th>
<th>YES</th>
<th>NO</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the facility give education to all key family members so that they can support the breastfeeding mother at home?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Are all breastfeeding mothers referred to breastfeeding support groups, if any are available?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Does the facility have a system of follow-up support for all breastfeeding mothers after they are discharged, such as early postnatal or lactation clinic check-ups, home visits, telephone calls?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Does the facility encourage and facilitate the formation of mother-to-mother or health care worker-to-mother support groups?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Does the facility allow breastfeeding counseling by trained mother-to-mother support group counselors in its maternity services?</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
**Facility Data**

Total births in prior year (20__) : __________

Of these births,

<table>
<thead>
<tr>
<th>Category</th>
<th>Number</th>
<th>Cesarean Rate</th>
<th>Low Birthweight Rate</th>
<th>Special Care Rate</th>
<th>Rooming-in Rate</th>
<th>Unmedicated Rate</th>
<th>Medicated Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>were via Cesarean Section</td>
<td>______</td>
<td>__________%</td>
<td>__________%</td>
<td>__________%</td>
<td>__________%</td>
<td>__________%</td>
<td>__________%</td>
</tr>
<tr>
<td>were low birthweight babies (&lt;2,500 g)</td>
<td>______</td>
<td>__________%</td>
<td>__________%</td>
<td>__________%</td>
<td>__________%</td>
<td>__________%</td>
<td>__________%</td>
</tr>
<tr>
<td>were in special care during their stay</td>
<td>______</td>
<td>__________%</td>
<td>__________%</td>
<td>__________%</td>
<td>__________%</td>
<td>__________%</td>
<td>__________%</td>
</tr>
<tr>
<td>roomed-in with their mothers &gt;23 of 24 hours daily</td>
<td>______</td>
<td>__________%</td>
<td>__________%</td>
<td>__________%</td>
<td>__________%</td>
<td>__________%</td>
<td>__________%</td>
</tr>
<tr>
<td>were vaginal deliveries with no pharmacologic pain relief during labor and delivery</td>
<td>______</td>
<td>__________%</td>
<td>__________%</td>
<td>__________%</td>
<td>__________%</td>
<td>__________%</td>
<td>__________%</td>
</tr>
<tr>
<td>were vaginal deliveries with anesthesia/analgesia</td>
<td>______</td>
<td>__________%</td>
<td>__________%</td>
<td>__________%</td>
<td>__________%</td>
<td>__________%</td>
<td>__________%</td>
</tr>
</tbody>
</table>

**Infant feeding data for deliveries from records or staff reports:**

- ______ mother/infant pairs discharged in time period _____ to ______ _ __________%
- ______ mother/infant pairs breastfeeding at discharge in the past month __________%
- ______ mother/infant pairs breastfeeding exclusively from birth to discharge in the past month (mothers breastfeeding exclusively divided by number of mothers breastfeeding at all) __________%
- ______ breastfed infants discharged in the past month who had received at least one formula feeding during their stay for acceptable medical reasons __________%
- ______ breastfed infants discharged in the past month who received supplementation for non-medical reasons __________%

**Historical Data on Breastfeeding Rates as available:**

Breastfeeding rate during previous year - ______ %

Exclusive breastfeeding rate during previous year ______ %